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JUN 17 1999

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. 0756-2183
	First Inventor or Application Identifier: Shunpei YAMAZAKI
	Title: METHOD OF FORMING INSULATING FILMS, CAPACITANCES, AND SEMICONDUCTOR DEVICES
	Express Mail Label No.

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1 [X] Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2 [X] Specification <span style="float: right;">Total Pages [16]</span> (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3 [X] Drawing(s) (35 USC 113) <span style="float: right;">Total Sheets [4]</span></p> <p>4 [X] Oath or Declaration <span style="float: right;">Total Pages [2]</span></p> <ul style="list-style-type: none"><li>a. [ ] Newly executed (original or copy)</li><li>b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>[ ] <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>5 [X] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6 [ ] Microfiche Computer Program (Appendix)</p> <p>7 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. [ ] Computer Readable Copy</li><li>b. [ ] Paper Copy (identical to computer copy)</li><li>c. [ ] Statement verifying identity of above copies</li></ul> <hr/> <p style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8 [ ] Assignment Papers (cover sheet &amp; document(s))</p> <p>9 [ ] 37 CFR 3.73(b) Statement <span style="float: right;">[ ] Power of Attorney</span> (when there is an assignee)</p> <p>10 [ ] English Translation Document (if applicable)</p> <p>11 [X] Information Disclosure Statement <span style="float: right;">[ ] Copies of IDS</span> (IDS)/PTO-1449 Citations</p> <p>12 [X] Preliminary Amendment</p> <p>13 [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14 [ ] *Small Entity <span style="float: right;">[ ] Statement filed in prior application,</span> Statement(s) Status still proper and desired (PTO/SB/09-12)</p> <p>15 [ ] Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16 [X] Other: Notice of Change of Company Name and Address</p> <p>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment

Divisional of prior application Serial No. 08/857,556 filed May 16, 1997; which itself is a Division of Serial No. 08/250,344 filed May 27, 1994, now U.S. Patent 5,665,210; which is a Continuation of Serial No. 08/041,520 filed March 30, 1993, now abandoned; which is a Continuation of Serial No. 07/729,533, filed July 5, 1991, now abandoned.

Prior application information: Examiner: S. Crane Group/Art Unit: 2811

<b>18. CORRESPONDENCE ADDRESS</b>		
[X] Customer Number or Bar Code Label	Customer No. 22204	or [X] Correspondence address below
(Insert Customer No. or Attach bar code label here)		
Name: Jeffrey L. Costellia		
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Address: 8180 Greensboro Drive, Suite 800		
City: McLean	State: VA	Zip Code: 22102
Country: U.S.A.	Telephone: (703) 790-9110	FAX: (703) 883-0370
Name: Jeffrey L. Costellia	Registration No. 35,483	
Signature:	Date: July 20, 2000	

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## FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

## Complete If Known

Application Number  
Filing Date July 20, 2000  
First Named Inventor Shunpei YAMAZAKI  
Examiner Name S Crane  
Group Art Unit 2811  
Attorney Docket Number 0756-2183

TOTAL AMOUNT OF PAYMENT \$690.00

## METHOD OF PAYMENT (check one)

- 1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to  
Deposit Account No. 19-2380  
Deposit Account Name NIXON PFARBODY LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
- 2 ☒ Payment Enclosed  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1 BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	[690]
106 310	206 155	Design filing fee	[ ]
107 480	207 240	Plant filing fee	[ ]
108 690	208 345	Reissue filing fee	[ ]
114 150	214 75	Provisional filing fee	[ ]

SUBTOTAL (1)

\$690.00

## 2 EXTRA CLAIM FEES

Extra Claims Fee from Below Fee Paid

Total Claims 1 - 20\*\* = 0 X \$18.00 = \$

Independent Claims 1 - 3\*\* = 0 X \$78.00 = \$

Multiple Dependent Claims \$260.00 = \$

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim	
109 78	209 39	Reissue independent claims over original patent	
110 18	210 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

- 0 -

## FEE CALCULATION (continued)

## 3 ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105 130	205 65			Surcharge-late filing fee or oath	
127 50	227 25			Surcharge-late provisional filing fee or cover sheet	
139 130	139 130			Non-English specification	
147 2,520	147 2,520			For filing a request for reexamination	
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	
115 110	215 55			Ext for reply within first month	
116 380	216 190			Ext for reply within second mth	
117 870	217 435			Ext for reply within third mth	
118 1,360	218 580			Ext for reply within fourth mth	
128 1,850	228 925			Ext for reply within fifth month	
119 300	219 150			Notice of Appeal	
120 300	220 150			Filing brief in support of appeal	
121 260	221 130			Request for Oral Hearing	
138 1,510	138 1,510			Petition to institute public use proceeding	
140 110	240 55			Petition to revive-unavoidable	
141 1,210	241 605			Petition to revive-unintentional	
142 1,210	242 605			Utility issue fee (or reissue)	
143 430	243 215			Design issue fee	
144 580	244 290			Plant issue fee	
122 130	222 130			Petitions to the Commissioner	
123 50	223 50			Petitions related to provisional applications	
126 240	226 240			Submission of IDS	
581 40	581 40			Recording each patent assignment per property (times number of properties)	
146 760	246 380			Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380			For each additional invention to be examined (37 CFR 1.129(b))	
				Other _____	
				Other _____	
				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3)	\$

## SUBMITTED BY

## Complete (if applicable)

Typed or Printed Name

Jeffrey L. Costellia

Reg. Number

35,483

Signature

Date

July 20, 2000

Deposit Account User ID

19-2380

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